



6HFXULWLHV 'HSRVLWRU\ DQG &OHDULU &RFXS RUC WLRH  
 UHJLVWUDWLRQ GDWH DW WKH FORVLDQ RI WKH UHJLVW  
 DWWHQG WKH 6KDUHKROGHUV \*HQHUDO QZHWLQJ DQGD  
 0HHWLQJ DQG YRWH KDYHSWR[EGRHWKDRWRKRSQGHU R

'LUHFWRUV VXSHUYLVRUV DQGH&RPSDQDQDJHPH  
 /DZ\HUV HQJDJHG E\ WKH &RPSDQ\

2WKHU SHUVRQHQHODWYDQWXODVWBDQVWHJXGDWKRQ

\*HQHUDO 0HHWLQJ

9HQXH RI RQ VLWH PHHWLQJ &RQHUFQRGRJ(P)DF)  
 %XLOGLQJ ;LQJXDLXQJ'5RDLFLVQ<DQJMRXU&YWFH  
 ,, 0DWWHUV IRU 'HOLEHUDWLRQ

3URSRVDO 1R	3URSRVDO	5HPDUN
	*HQHUDO SURSRVDO \$OO SURSRVDOV H[FHSW FXPXODWLYH YRWLQJ SURSRVDOV	QDRMH FKHFNHG L FROXPQ FDQ EH Y
1RQ FXPXODWLYH YRWLQJ SURSRVDO		
	:RUN 5HSRUW RI WKH %RDUG RI 'LUHFWRUV WKH &RPSDQ\	¥
	:RUN 5HSRUW RI WKH %RDUG RI 6XSHUYLV RI WKH &RPSDQ\	¥
	)LQDQFLDO )LQDO 5HSRUW RI WKH &RPSDQ\	¥
	3URILW '10DQJREXWLRQ &RPSDQ\	¥
	)XOO 7H[W DQG 6XPPDU\ RI WKH \$QQXDO 5HSR RI WKH &RPSDQ\	¥
	3URSRVDO IRU WKH 5HQHZDO RI WKH (QJDJHPH WKH \$FFRXQWLQJ )LUP	¥
	3URSRVDO RQ WKH &KDQJH RI 5HJLVWHUHG &D DQG \$PHQGPArticles of Association	¥

7KH DIRUHVDLG SURSRVDOV KDYHHEHWK UHHYLVHZHG R  
 )RXUWK %RDUG RI 'LUHFWRUV RI WKH &RPSDQ\RXUDQK %RQ  
 6XSHUYLVRUV )RU GHWDLOV VHHEUWKHY &RPSDQQRXQQ \$S

RQ ZZZ FQLQIR FRP FQ

3URSRVDO LV D VSHFLDO UHVRSSURLYDO SURRSMR VOHDV

RI VKDUHKROGHUR[LH Q F O X W K Y Y R R Q M S D W L W K W V O S H W V G J  
)RU WKH YRWLQJ UHVXOWV RI V Q K H Z L D O B U H H S D L I G D S U H F O S  
YRWHV RI VPDOO DQG PHGLXP VL]H G ] H G Y H Q W H R W V R U M P D  
VKDUHKROGHUV RW K H S H W K Y L Q R G L U H I E M R O H Q P L P L E H O D Q D R J I W  
FRPSDQ\ DQG VKDUM K B X O G H O V R Z K R R L Q G H L F W K D E O \ I R R O V K H  
WKH OLVWHG FRPSDQ\ DQG GLVFORVH WKHP VHS DUDWHO  
, QGHSHQGHQW B E S D I Q W Z L O O R U H S R H W K W I G K D W H Z R R O I G H  
0HHWLQJ

,,, 0HHWLQJ 5HJLVWUDWLRQ DQG 2WKHU 0DWWHU  
5HJLVWUDWLRQ PHWKR G  
1DWXUDO SHUWRQ O O D K R H K R O V G H U L V W , U E D U B Q W R I W U L ]  
SUR[LHV WR DWW H O S G R M K H V O H K V O F O J K W K G W K H S L R Z H U R I D  
, ' F D U G V R I D X W K R U L ] H U V I R U U H J L V W U D W L R Q

/HJDO UHSUHVHQWDWLYHV RUO G K H M U V S D R [ Q H D W R M H F R  
, I O H J D O U H S U H W H Q H W D W H W H L Q J D W W O H O K R D G G U K B E S R X Q W  
VKDUHKROGLQJ FHUWLILFDWHV I L F S D H O V V R I D E X V L O H J D O O U  
FHUWLILFDWHV H J Q G W U D M L R Q I Q U S H S R ] H W H Q R W D O W L J D H V D W Y  
SUR[LHV VKDOO KROG WKHLU , ' E D W G R I F L F S I D H O V V R I D E X W L S R H  
LVVXHG E \ O H J D O O U H S O H V H Q W D W L E M D W K L Y W H K I R O G H I U L F D F F  
L Q V W L W X W L R Q D O K V D K I K R I O F O G H H F L U R M L U D W L R Q

1RQ ORFDO VKDUHKROGHUV FDQ UHJLVWHU E \ OHWV  
5HJLVWUDWLRQ WLP H  
7KH RQ VLWH UHJLVWUDWLRQ W L F L Q J R I L W K H R P K D U H K R F O  
RQ 0D \ I R U U H J L V W U D W L R Q H P D Q W V E \ E G H G W H O L Y W I R  
&RPSDQ\ EHIRUH RQ 0D \

2Q VLWH UHJLVWUDWLRQ VLWH  
&RQIHUHQFH 5RRP ) 1R <DQJMLH 7 H F Q J K R D Q T J D ) O 5 V R  
+DQMLDQJ ' L V R X L F W W \ < D Q D J V X 3 U R Y L Q F H  
&RQWDFW LQIRUPDWLRQ  
&RQWDFWV )DQ )HQJELQ DQG 4LQ 1DQ

7HO

)D[

(PDLO ]ME# \DQJMLH FRP

1RWHV

7KH 0HHWLQJ LV H[SHFWHG WR GCHUWWZIKRU LKQVHI QDGMV  
0HHWLQJ VKDOOEHRPWPGBWULRQZDQSHQVHDQVSRUWDWLRQ

6KDUHKROGHUV DWWHQGLQJ WKHKDIDHVDLQJKR/KUDQD  
0HHWLQJ DQG KROGGRUUVJLQDQDKR'OEQQG SRZMULIRFDWWHR  
YHULILFDQWRQDKGNYHQXH

,9 6SHFLILF 2SHUDWLQJ 3URFHGXUHV IRU 2QOLQH 9RW  
\$W WKH 6KDUHKROGHUV \*HQHUDODVHHWQQYRWKQJHKKR  
WUDGLQJ V\ VWHP DQG ,QWHUQHW YRWLQJFKDQVHPDVR  
KWS ZOWS FQLQHFRWRQSSOHQDVEHILFRPSWVWDLQJ SU  
RQOLQH YRWLQJ

9 5HIHUHQFH 'RFXPHQVV

5HVROXWLRQ RI WKKH )RKLWIKVLRDURGI RMK'HLU&RFP\$DQ\  
5HVROXWLRQ RI WKKH )RKLWIKVLRDURGI RRI 6XSHU&RPSD  
2WKHU GRFXPHQWFKHQKNDUQHWGRE'NW(KFKDQJH

\$QQH[ 6SHFLILF 2SHUDWLQJ 3URFHGXUHV IRU 2QOLQH

\$QQH[ 3RZHU RI \$WWRUQH\  
,W LV KHUHE\ DQQRXQFHG

<DQJ]KRX <DQJMLH (OHFWURQLF 7

7KH %RDUG RI 'LUH

\$SULO



LGHQWLW\ DQG REWDLQ DQ 6=6( 'LWWRDO6&HUYLWFLHILFDWWH  
DFFRUGDQFH ZLWK Guidelines for Investor Identity Authentication for Online  
Services of Shenzhen Stock Exchange (Revised in 2016)7KH VSHFLILF DXWKHQWLF  
DUH DYDLODEOH LQ WKH FROXPQ RIUHQVHYRDLQJGXV  
KWWS ZOWS FQLQIR FRP FQ

6KDUHKROGHUV PD\ YRWH WKURXJK WKHDW6=6(  
KWWS ZOWS FQLQIRFRP FQ UZLEWGRWLRPHI VHFUULGHSJVVZ  
FHUWLILFDWH REWDLQH

\$SSHQGL[

3RZHU RI \$WWRUQH\

, :H KHUHE\ DXWKRUL]H 0U 6KDUHKROGHUV \*

0HHWLQJ RI <DQJ]KWXRQQQFMHFKORRORBL&R WKWGLJK[W WF

SURSRVDOV GHOLEHUDWHG DW WKHRIO\$WWRUQDQV DQGWULXJ

GRFXPHQWV WR EH VLJQHG DW WKH 0HHWLQJ RQ EHKDOI

, :H H[SUHV V P\ RXU YRWLQJ RSLQGDQW WRKQLWKHH\$WBRV

3URSRVDO 1R	3URSRVDO	5HPDUN	\$JUHH	2SSRVH \$E
		7KRVH EKHENHG LQ WKLV FROXPQ FDQ EH YRWHG RQ		
		*HQHUDO SURSRVDO \$OO SURSRVDOV H[FHSW FXPXODWLYH YRWLQJ SURSRVDOV		
1RQ FXPXODWLYH YRWLQJ SURSRVDO				
		:RUN 5HSRUW RI WKH %RDUGRI 'LUHFWRUV RI WKH &RPSDQ\		

	3URSRVDO IRU WKH 5HQHZDO RI WKH (QJDJHPHQW RI WKH \$FFRXQWLQJ )LUP			
	3URSRVDO RQ WKH &KDQJH RI 5HJLVWHUHG &DSLWDO DQG \$PHQGPHQW WR WKH \$UWLFQHV RI \$VVRFLDWLRQ			

1DPH RI WKH ~~DLXQDRULJHU~~ VUDO ~~BBBBBBBBBBBBBBBBBBBB~~

ID card No. ~~Business License No~~ ~~BBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBB~~

1DWXUH RI V~~DKUHDXMORUE~~]HU ~~BBBBBBBBBBBBBBBBBBBBBBBBBBBB~~

1XPEHU RI V~~KDWKH~~ ~~REUL~~]HU ~~BBBBBBBBBBBBBBBBBBBBBBBB~~

\$FFRXQW 1~~XWRWKJHU~~ ~~BBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBB~~

6LJQDWXUH RI WKH DXWKRULJHG SHUVRQ~~BBBBBBBBBBBBBBBB~~

ID Card No. RI WKH DXWKRULJHG SHUVRQ ~~BBBBBBBBBBBBBBBB~~

'DWH RI DXWKRULJ~~DWLRQ~~ ~~BBBBBBBBBBBBBBBBBBBBBBBB~~

7HUP RI YDOLGLW\ ~~BBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBB~~

&KHFN RQO\ RQH ~~JSHLRQ2~~ ~~\$SRQH~~ ~~\$ZG~~ ~~\$UE~~ ~~PR~~ ~~DHQR~~ ~~SW~~

FKHFNHG ZLOO EHWIRQ~~LDOL~~ ~~EXWD~~ ~~WKPUS~~ ~~UPE~~ ~~Z~~ ~~WHO~~ ~~WERQ~~ ~~IURF~~

,I WKH DXWKRUL~~FHUL~~ ~~PLQ~~ ~~W~~ ~~ORF~~ ~~SRQ~~ ~~S~~ ~~RVQ~~ ~~WK~~ ~~HW~~ ~~KE~~ ~~R~~ ~~D~~

SHUVRQ LV 'HQWLWOHG 'QRW ~~WQ~~ ~~RU~~ ~~W~~ ~~PHG~~ ~~WR~~ ~~WR~~ ~~WK~~ ~~IR~~ ~~D~~ ~~X~~

FKHFN 'HQWLW~~W~~ ~~ORIG~~ 'QR~~W~~ ~~H~~ ~~Q~~ ~~L~~ ~~S~~ ~~HU~~ ~~WR~~ ~~QH~~ ~~DK~~ ~~DK~~ ~~OR~~ ~~EH~~ ~~J~~ ~~G~~ ~~H~~

QR ULJKW WR YRWR~~QH~~ ~~W~~ ~~DK~~ ~~OR~~ ~~SU~~ ~~R~~ ~~S~~ ~~IR~~ ~~W~~ ~~DX~~ ~~W~~ ~~KR~~ ~~UL~~ ~~J~~ ~~HU~~

7KH 3RZHU RI \$WWRUQH\ VKDOOHG~~H~~ ~~B~~ ~~B~~ ~~E~~ ~~R~~ ~~U~~ ~~G~~ ~~L~~ ~~Q~~ ~~J~~ ~~G~~ ~~W~~ ~~L~~ ~~R~~ ~~W~~

IRUPDW ,I WKH ~~DX~~ ~~SR~~ ~~KR~~ ~~D~~ ~~W~~ ~~J~~ ~~H~~ ~~V~~ ~~K~~ ~~D~~ ~~U~~ ~~ER~~ ~~R~~ ~~\$~~ ~~W~~ ~~B~~ ~~U~~ ~~W~~ ~~K~~ ~~H~~ ~~V~~ ~~K~~ ~~Z~~ ~~H~~ ~~O~~ ~~E~~ ~~H~~

WKH RIILFLDO VHDO ,I WKH DXWKRULJHU LV D OHJDO UHS